

**1** Two-day Conference  
14-15 April 2010, London

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Managing Partner Events presents the 6th Annual  
**Constructing strategic risk assessment  
frameworks for law firms**

Enhancing your firm's risk management in a changing legal landscape



A two-day event **designed to help you:**

- \* Assess the current climate of the legal profession and its impact on risk assessment
- \* Identify the problems that have transpired over the past two months to strengthen your risk strategies
- \* Use technology to reduce risk and enhance compliance in your law firm
- \* Manage your law firm's risk in all areas including professional indemnity, business continuity and anti-money laundering
- \* **ALSO:** See risk management and compliance technology in action with our special half-day workshop

**Expert contributions from:**

- |                                 |                             |                          |
|---------------------------------|-----------------------------|--------------------------|
| * Allen & Overy LLP             | * Legal Risk LLP Solicitors | * Royal Bank of Scotland |
| * Berwin, Leighton, Paisner LLP | * Simmons & Simmons         | * Salans LLP             |
| * CMS Cameron Mckenna           | * Mourant du Feu & Jeune    | * Taylor Wessing LLP     |
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Researched by:

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Risk Management for Law Firms

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# DAY 1 Wednesday, 14 April 2010

09:00 Registration and refreshments

09:30 Chair's opening remarks

## OPENING KEYNOTE

09:45 **Setting the scene: The changing behaviour in a changing legal profession**

- » What have we learned over the past two years and what do we need to focus on going forward?
- » What is the fundamental role of risk? Is it a strategic or a day-to-day risk management task?
- » How is the changing legal landscape going to affect the behaviour of partners?
- » How can we ensure that partners are motivated to behave risk-adversely?

*Neil Woodcock, Global Chief Operating Officer, Salans LLP*

10:30 **Busy but easy, or leisurely but difficult...and expensive?**

- » Exploring the problems in risk management procedures that have become apparent during the recession
- » Abolishing the "box-ticking" approach to compliance and employing "blue-sky thinking" to avoid overlooking crucial compliance points
- » Producing a comprehensive calculation of risks associated with business practice, compliance arrangements and internal governance

*Francis Dingwall, Partner, Legal Risk LLP Solicitors*

11:15 Morning coffee break

11:45 **Considering Anti-money laundering risk assessment requirements from an offshore law firm's perspective**

- » An overview of how offshore law firms based in the UK dependant territories are regulated and supervised for Anti-Money Laundering [AML] purposes
- » What are the current senior partners/boards obligations in respect of conducting and maintaining an AML Risk Assessment
- » What should be included/considered in conducting an AML Risk Assessment and how to ensure engagement of management and staff
- » Some current challenges more generally for offshore law firms

*Sue Munns, Head of Compliance, Mourant du Feu & Jeune*

12:30 **Implementing and ensuring business continuity in your firm**

- » Establish wide-ranging BCP with scope to cover a variety of effective disaster recovery strategies
- » Know which areas would be most affected by disaster, and create a risk register to understand the severity
- » Identify the best ways to carry out the testing of BCP with limited disruption
- » Engage your workforce and increase internal communications
- » Work with your clients to understand their needs in an emergency and maintain quality of work

*Clive Restall, Global Business Continuity Manager, Allen & Overy LLP*

13:15 Networking lunch break

14:15 **Business risks for law firms in 2010**

- » What pressures do firms face?
- » How is the legal profession viewed from a banking perspective?
- » How will they continue to secure loan capital from banks?

*James Tsolakis, Head of Legal Practices, Professional Practices, Royal Bank of Scotland*

15:00 **Best practices for using technology to reduce risk and enhance compliance**

- » The technology landscape - how new tools create new risks, but can also help address them
- » How to identify and address disconnects between policy and practice, risk and IT stakeholders
- » Implementing information barriers to address confidentiality requirements
- » Addressing FSA/MAD requirements by automating insider list management, tracking and reporting

*John Sharkey, Director, Risk Practice, IntApp*

*Annette Fritze-Shanks, Head of Professional Standards and Compliance, Taylor Wessing LLP*

15:45 Afternoon coffee break

16:15 **Assessing the risk of Anti-money laundering in your firm**

The Money Laundering regime requires that law firms implement and maintain risk sensitive and appropriate policies and procedures. They are also required to monitor and manage their compliance. This session will look at:

- » Conducting a risk assessment for anti-money laundering purposes
- » Understanding your obligations and the potential risks in anti-money laundering compliance
- » Managing and demonstrating effective compliance

*Sue Mawdsley, Partner, Legal Risk LLP*

17:00 **Taking risk management steps to minimise the premium for your professional indemnity insurance**

- » Managing legal service delivery risk and your PI premium
- » Mitigate risk by focussing on the October 2010 renewal of premiums right now
- » Strengthen your risk control by ensuring that you work closely with your brokers and insurers
- » Develop a company-wide risk aware mindset by providing on-going training for both fee earners and staff

*Jonathan Thorpe, Partner, CMS Cameron Mckenna LLP*

17:45 Chairman's closing remarks and end of day one

18:00 Drinks reception hosted by IntApp

# DAY 2 Thursday, 15 April 2010

09:30 Chairman's opening remarks

12:45 Networking lunch break

09:45 **Managing strategic and reputation risk**

- » Coming to grips with strategic risk - black swans, risk perception and your risk environment
- » How strategic is your risk management? Why does it need to be?
- » What are the key strategic risks and opportunities facing law firms in the current environment?
- » An active reputation management strategy?
- » Defining a strategy for managing it and ensuring accountability - your role and the Board's

*Nicole Bigby, Head of Risk, Berwin, Leighton, Paisner LLP*

10:30 **Changes in the delivery of legal services- outsourcing, multisourcing, flexible sourcing**

- » Does outsourcing reduce your control of risk?
- » Ways of retaining control of outsourced legal services
- » The development of LPO as part of multisourcing and flexible sourcing
- » The systemic and operational risks inherent in LPO and how to manage them
- » Key contractual terms in LPO
- » Manage your pricing risk of outsourced work cost-effectively through creative fee arrangements

*Usman Wahid, Senior Associate, Berwin Leighton Paisner LLP*

11:15 Morning coffee break

11:45 **PANEL SESSION: What have we learned? How will it help?**

*Chris Andrews, Director of Risk Management, Simmons & Simmons*

## AFTERNOON RISK RESPONSE WORKSHOP

14:00 **Risk management and compliance technology in action**

This interactive session is designed for you to discover the newest strategies and tools to address several important risk management and compliance issues. Learn from our expert focus group leader as well as your peers and see how their experiences can help you overcome the challenges you face in your firm.

This workshop provides the opportunity to hear from peer firms regarding their use of technology to manage risk and ensure compliance. The moderated discussion will include demonstration of confidentiality and insider list management tools. It will also include firm case studies and provide a forum for peer discussion and exchange of best practices regarding the use of technology to enhance law firm governance, policy management and internal risk auditing efforts. There will be a coffee break in the course of the afternoon.

*John Sharkey, Director, Risk Practice, IntApp*

*Andrew Cheung, Director of Compliance, Denton Wilde Sapte LLP*

*Annette Fritze-Shanks, Head of Professional Standards and Compliance, Taylor Wessing LLP*

16:45 Chairman's closing remarks and close of conference

## Why this event?

With the regulatory landscape of the legal profession undergoing a significant overhaul, and the model of legal services opening up for joint ventures, now is the time that law firms must start thinking about adapting their risk assessment frameworks to prepare themselves for-and capitalise on-the changes. Failure to adequately adapt their risk strategies could result in a loss of profits or fines being incurred due to non-compliance.

Featuring in-depth presentations and discussions, as well as an afternoon workshop, Ark Group's 6th annual Constructing Strategic Risk Assessment Frameworks for Law Firms conference will provide you with contributions from the leading figures in the field including:

- DLA Piper UK LLP; on the impact that the changes in regulation, as well as the advent of ABS will have on law firms
- CMS Cameron McKenna LLP; on managing the risks associated with professional indemnity insurance
- Berwin, Leighton, Paisner LLP; on managing the strategic and reputation risk of your firm
- Legal Risk LLP; on the problems in risk management procedures that have become apparent during the recession

# Constructing strategic risk assessment frameworks for law firms

Two-day Conference  
14-15 April 2010, London

Booking ref: **1197-10**

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